



U.S. PATENT APPLICATION NO.10/010,961 **DOCKET NO.20236**

TED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Chien-Min Sung

SERIAL NO.:

10/010,961

FILED:

12/06/2001

CONFIRM. NO.:

5672

FOR:

FIXED ABRASIVE CMP PAD

DRESSER AND ASSOCIATED

METHODS

ART UNIT:

3723

EXAMINER:

DOCKET NO .:

20236

CERTIFICATE OF DEPOSIT UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, under 37 C.F.R. § 1.8 on the date indicated below and is addressed to Assistant Commissioner of Patents, Washington, D.C. 20231.

 $\frac{3-21-02}{\text{Date of Deposit}}$

RESPONSE TO NOTICE TO FILE MISSING PARTS OF **PATENT APPLICATION Filing Date Granted**

Assistant Commissioner for Patents **Box Missing Parts** Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application mailed January 22, 2002, please find enclosed herewith the following items for filing in the United States Patent and Trademark Office in connection with the above-identified patent application:

- An Assignment from the inventor to KINIK COMPANY;
- A Verified Statement Claiming Small Entity Status;
- A copy of the Notice to File Missing Parts of Application Filing Date Granted; Χ
- Declaration and Petition; Χ
- Power of Attorney; \mathbf{X}_{-}

- X Prior Art Statement, Form PTO-1449, and copies of cited prior art;
- X Check in the amount of \$2,036.00 to cover the filing fee for the above-identified application, the recordation fee for the enclosed patent assignment, and the surcharge fee pursuant to 37 C.F.R. § 1.16(e). These fees have been calculated as follows:

			SMALL ENTITY		LARGE ENTITY	
FOR	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE			\$370	\$	\$740	\$740
TOT. CLAIMS	53- 20 =	33	x 9 =	\$	x 18 =	\$594
IND. CLAIMS	6- 03 =	3	x 42 =	\$	x 84 =	\$252
MULTIPLE DEPENDENT Yes CLAIMS PRESENTED		\$140		\$280	\$280	
ASSIGNMENT FILING FEE		\$ 40		\$ 40	\$40	
SURCHARGE FEE		\$65		\$130	\$130	
		тот	AL S	TOTAL	\$2036	

Please charge any additional fees or credit any overpayment to Deposit Account No.

DATED this 21st day of mar, 2002.

Respectfully submitted,

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M. Wayne Western

Attorney for Applicant Registration No. 22,788

THORPE NORTH & WESTERN, L.L.P.

P.O. Box 1219

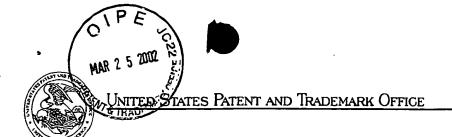
Sandy, Utah 84091-1219

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Thorpe, North & Western

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/010,961

12/06/2001

Chien-Min Sung

20236

20551 THORPE NORTH WESTERN 8180 SOUTH 700 EAST, SUITE 200 P.O. BOX 1219 **SANDY, UT 84070**

CONFIRMATION NO. 5672 FORMALITIES LETTER *OC000000007338328*

Date Mailed: 01/22/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- · The statutory basic filing fee is missing. Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1234.
 - \$702 for 39 total claims over 20.
 - \$252 for 3 independent claims over 3.
 - \$280 for multiple dependent claim surcharge.
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

• The balance due by applicant is \$ 2104.

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A copy of this notice MUST be returned with the reply.

Vorachada

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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